Spiderrock EXS, LLC - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0100	69.9836	30.0163	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	59.3548	0.0100	58.8654	60.4958	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.6033	0.0000	10.5683	10.6851	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0288	0.0000	0.0403	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.0793	0.0000	0.1123	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.5276	0.0100	10.4498	10.7090	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0527	0.0000	0.0632	0.0283	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.5983	0.0000	0.8497	0.0122	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
MEMX LLC EQUITIES (MEMX)	0.0623	0.0000	0.0827	0.0147	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0833	0.0000	0.0692	0.1162	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0109	0.0000	0.0154	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0150	0.0000	0.0213	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	17.3700	0.0000	17.5584	16.9310	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.5642	0.0000	0.5712	0.5480	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.6493	0.0000	0.7330	0.4543	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):

XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0100	73.0650	26.9349	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	57.9420	0.0100	58.1372	57.4123	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.6653	0.0000	10.7610	10.4059	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0312	0.0000	0.0426	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.1775	0.0000	0.2426	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.5662	0.0000	10.6122	10.4415	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.1209	0.0000	0.1237	0.1135	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.6944	0.0000	0.9475	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.1277	0.0000	0.1519	0.0618	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.1119	0.0000	0.0907	0.1695	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0117	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0233	0.0000	0.0317	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	15.6625	0.0000	15.5148	16.0631	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	1.9517	0.0000	1.5116	3.1458	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	1.9160	0.0000	1.8198	2.1771	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
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CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
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CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
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CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
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INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
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NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

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XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

April 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.4574	0.0100	88.4746	11.5253	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO)	0.0703	0.0000	0.0246	0.4239	0.0000	0.00	0.0000	15,182.05	31.7284	739.11	38.6767	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA OPTIONS (ARCO)	57.0075	0.0100	57.7768	52.1202	0.0000	0.00	0.0000	72,079.35	12.3834	61,503.94	10.4994	0.00	0.0000
CBOE BZX OPTIONS EXCHANGE (BATO)	1.5723	0.0000	1.2415	4.1869	0.0000	0.00	0.0000	58,500.39	54.6431	21,063.87	56.5959	0.00	0.0000
CBOE C2 OPTIONS EXCHANGE (C2OX)	2.1462	0.0100	1.6519	5.8912	0.0000	0.00	0.0000	116,183.34	33.6936	70,323.75	40.4981	0.00	0.0000
CBOE EDGX OPTIONS EXCHANGE (EDGO)	0.0488	0.0000	0.0238	0.2430	0.0000	0.00	0.0000	34,016.42	31.3839	1,568.59	35.3764	0.00	0.0000
MIAX EMERALD, LLC (EMLD)	0.7022	0.0000	0.4255	2.8602	0.0000	0.00	0.0000	16,283.63	47.1620	6,409.04	35.5052	0.00	0.0000
ISE GEMINI EXCHANGE (GMNI)	0.1589	0.0000	0.0843	0.7393	0.0000	0.00	0.0000	10,108.66	43.0669	1,902.66	38.5466	0.00	0.0000
ISE MERCURY, LLC (MCRY)	0.0482	0.0000	0.0321	0.1741	0.0000	0.00	0.0000	30,741.05	20.9463	633.65	7.6426	0.00	0.0000
MIAX PEARL, LLC (MPRL)	0.2681	0.0000	0.1742	1.0013	0.0000	0.00	0.0000	24,736.91	50.4959	2,187.40	31.3201	0.00	0.0000
MEMX LLC OPTIONS (MXOP)	1.9598	0.0000	1.5090	5.5131	0.0000	0.00	0.0000	43,510.88	42.4567	51,295.64	46.6638	0.00	0.0000
MIAX SAPPHIRE, LLC (SPHR)	0.0168	0.0000	0.0100	0.0885	0.0000	0.00	0.0000	19,087.12	49.7384	622.30	33.3315	0.00	0.0000
BOX OPTIONS EXCHANGE (XBOX)	0.0246	0.0000	0.0174	0.0816	0.0000	0.00	0.0000	17,392.35	14.0852	841.00	41.3674	0.00	0.0000
NASDAQ OMX BX OPTIONS (XBXO)	0.2379	0.0000	0.2180	0.4025	0.0000	0.00	0.0000	17,386.64	49.1565	16,018.60	94.0390	0.00	0.0000
CBOE GLOBAL MARKETS INC. (XCBO)	0.8771	0.0100	0.4792	1.0877	0.0000	157.61	49.0981	71,693.16	34.8018	19,696.14	16.4095	0.00	0.0000
INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE)	0.2887	0.0100	0.1919	1.0451	0.0000	24.50	64.4737	45,043.17	36.2235	2,842.77	18.5390	0.00	0.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	0.0637	0.0100	0.0242	0.3700	0.0000	0.00	0.0000	13,701.14	35.1473	1,833.33	32.9202	0.00	0.0000
NASDAQ OPTIONS MARKET (XNDQ)	34.4151	0.0000	36.0499	23.4777	0.0000	0.00	0.0000	104,359.51	46.4295	43,767.09	65.1606	0.00	0.0000
NASDAQ OMX PHLX (XPHL)	0.0936	0.0100	0.0681	0.2936	0.0000	2.50	50.0000	24,243.14	38.7042	3,824.75	44.9231	0.00	0.0000

Material Aspects:

NYSE AMEX OPTIONS (AMXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NYSE ARCA OPTIONS (ARCO):

The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE BZX OPTIONS EXCHANGE (BATO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE C2 OPTIONS EXCHANGE (C2OX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

CBOE EDGX OPTIONS EXCHANGE (EDGO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX EMERALD, LLC (EMLD):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE GEMINI EXCHANGE (GMNI):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE MERCURY, LLC (MCRY):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX PEARL, LLC (MPRL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MEMX LLC OPTIONS (MXOP):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MIAX SAPPHIRE, LLC (SPHR):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

BOX OPTIONS EXCHANGE (XBOX):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX BX OPTIONS (XBXO):

The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE GLOBAL MARKETS INC. (XCBO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE):
XISE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OPTIONS MARKET (XNDQ):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX PHLX (XPHL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0100	71.7494	28.2504	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	59.5280	0.0100	59.3001	60.1066	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.5312	0.0000	10.5409	10.5066	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0185	0.0000	0.0258	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.0575	0.0000	0.0800	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.4532	0.0000	10.4307	10.5103	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0362	0.0000	0.0412	0.0235	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.4336	0.0000	0.6027	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.0412	0.0000	0.0537	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0399	0.0000	0.0336	0.0558	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0105	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0111	0.0000	0.0155	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	18.0097	0.0000	18.0279	17.9637	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.4249	0.0000	0.3944	0.5021	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.4075	0.0000	0.4429	0.3174	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):
XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

May 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0100	73.1289	26.8709	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	59.0371	0.0100	59.5207	57.7212	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.8718	0.0100	11.0414	10.4103	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0279	0.0000	0.0379	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.1602	0.0000	0.2167	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.7976	0.0000	10.9394	10.4119	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0972	0.0000	0.0820	0.1387	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.5792	0.0000	0.7860	0.0164	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.0839	0.0100	0.0912	0.0640	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0704	0.0100	0.0559	0.1100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0100	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0188	0.0000	0.0254	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	15.3076	0.0000	15.0963	15.8830	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	1.5430	0.0000	0.9794	3.0769	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	1.3979	0.0000	1.1180	2.1596	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):

ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):
XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

May 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.7752	0.0100	88.8745	11.1254	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO)	0.0613	0.0000	0.0220	0.3770	0.0000	0.00	0.0000	15,272.47	30.0615	1,507.01	34.1107	0.00	0.0000
NYSE ARCA OPTIONS (ARCO)	58.2944	0.0000	58.9394	54.3230	0.0000	0.00	0.0000	99,287.00	19.1470	51,478.39	10.1120	0.00	0.0000
CBOE BZX OPTIONS EXCHANGE (BATO)	1.6161	0.0000	1.2568	4.5196	0.0000	0.00	0.0000	50,838.68	50.1472	17,450.33	51.6802	0.00	0.0000
CBOE C2 OPTIONS EXCHANGE (C2OX)	1.9248	0.0000	1.2950	6.9948	0.0000	0.00	0.0000	133,298.07	33.3834	96,311.35	39.9971	0.00	0.0000
CBOE EDGX OPTIONS EXCHANGE (EDGO)	0.0344	0.0000	0.0152	0.1883	0.0000	0.00	0.0000	25,752.09	29.4532	1,348.41	34.7617	0.00	0.0000
MIAX EMERALD, LLC (EMLD)	0.4357	0.0000	0.2886	1.6196	0.0000	0.00	0.0000	16,485.58	48.3774	5,007.69	35.8923	0.00	0.0000
ISE GEMINI EXCHANGE (GMNI)	0.0781	0.0000	0.0435	0.3556	0.0000	0.00	0.0000	7,969.28	50.3811	1,680.37	43.6800	0.00	0.0000
ISE MERCURY, LLC (MCRY)	0.0410	0.0000	0.0228	0.1875	0.0000	0.00	0.0000	24,029.46	18.4476	611.66	6.0874	0.00	0.0000
MIAX PEARL, LLC (MPRL)	0.1514	0.0000	0.1025	0.5445	0.0000	0.00	0.0000	27,114.85	51.0801	2,443.59	41.1656	0.00	0.0000
MEMX LLC OPTIONS (MXOP)	13.8342	0.0000	15.0073	4.7426	0.0000	0.00	0.0000	101,594.45	42.6964	104,564.59	44.1191	0.00	0.0000
MIAX SAPPHIRE, LLC (SPHR)	0.0174	0.0000	0.0100	0.1000	0.0000	0.00	0.0000	19,562.96	50.7628	839.92	40.4391	0.00	0.0000
BOX OPTIONS EXCHANGE (XBOX)	0.0288	0.0000	0.0167	0.1265	0.0000	0.00	0.0000	14,542.15	14.2472	1,105.85	46.1926	0.00	0.0000
NASDAQ OMX BX OPTIONS (XBXO)	0.3718	0.0000	0.3368	0.6590	0.0000	0.00	0.0000	17,966.11	49.7607	15,661.77	90.0257	0.00	0.0000
CBOE GLOBAL MARKETS INC. (XCBO)	0.3639	0.0100	0.0800	0.6561	0.0000	104.28	59.2500	58,698.99	31.2021	15,914.90	12.1904	0.00	0.0000
INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE)	0.1030	0.0000	0.0536	0.5000	0.0000	0.00	0.0000	32,076.66	35.4662	2,928.48	17.0191	0.00	0.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	0.0750	0.0000	0.0298	0.4377	0.0000	0.00	0.0000	11,755.80	33.8228	2,330.17	33.8639	0.00	0.0000
NASDAQ OPTIONS MARKET (XNDQ)	22.5043	0.0000	22.4436	23.4029	0.0000	0.00	0.0000	108,546.86	49.1371	41,570.60	63.4201	0.00	0.0000
NASDAQ OMX PHLX (XPHL)	0.0644	0.0000	0.0393	0.2652	0.0000	0.00	0.0000	25,141.51	40.7170	6,705.60	43.2564	0.00	0.0000

Material Aspects:

NYSE AMEX OPTIONS (AMXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NYSE ARCA OPTIONS (ARCO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE BZX OPTIONS EXCHANGE (BATO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE C2 OPTIONS EXCHANGE (C2OX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

CBOE EDGX OPTIONS EXCHANGE (EDGO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX EMERALD, LLC (EMLD):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE GEMINI EXCHANGE (GMNI):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE MERCURY, LLC (MCRY):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX PEARL, LLC (MPRL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MEMX LLC OPTIONS (MXOP):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MIAX SAPPHIRE, LLC (SPHR):

Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

BOX OPTIONS EXCHANGE (XBOX):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX BX OPTIONS (XBXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE GLOBAL MARKETS INC. (XCBO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE):
XISE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OPTIONS MARKET (XNDQ):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX PHLX (XPHL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

June 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0000	71.8852	28.1148	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	59.7672	0.0000	59.4202	60.6543	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.6998	0.0000	10.6596	10.8025	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0217	0.0000	0.0301	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.0754	0.0000	0.1049	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.5706	0.0000	10.5200	10.7001	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0388	0.0000	0.0458	0.0210	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.5577	0.0000	0.7754	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.0460	0.0000	0.0608	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0173	0.0000	0.0209	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0113	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0173	0.0000	0.0240	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	17.2687	0.0000	17.4207	16.8799	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.4830	0.0000	0.4209	0.6417	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.4283	0.0000	0.4853	0.2824	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):
XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

June 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0000	73.6905	26.3095	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	58.7929	0.0000	59.2977	57.3790	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.8890	0.0000	11.0211	10.5190	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0358	0.0000	0.0482	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.1900	0.0000	0.2565	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.8326	0.0000	10.9309	10.5572	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0969	0.0000	0.0879	0.1218	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.6720	0.0000	0.9056	0.0178	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.0849	0.0000	0.0965	0.0524	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0603	0.0000	0.0502	0.0885	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0118	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0274	0.0000	0.0371	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	15.2918	0.0000	14.9384	16.2815	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	1.5996	0.0000	1.0553	3.1241	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	1.4172	0.0000	1.2617	1.8530	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):
XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

June 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.8216	0.0100	89.6446	10.3554	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO)	0.0716	0.0000	0.0233	0.4909	0.0000	0.00	0.0000	19,231.64	31.5526	2,991.50	38.1277	0.00	0.0000
NYSE ARCA OPTIONS (ARCO)	61.3140	0.0000	61.9596	56.7842	0.0000	0.00	0.0000	136,228.92	20.0744	74,920.67	10.7374	0.00	0.0000
CBOE BZX OPTIONS EXCHANGE (BATO)	0.5638	0.0000	0.4078	1.9234	0.0000	0.00	0.0000	65,465.17	55.0317	18,567.85	63.7829	0.00	0.0000
CBOE C2 OPTIONS EXCHANGE (C2OX)	1.0402	0.0000	0.4615	6.0645	0.0000	0.00	0.0000	126,108.63	35.5250	78,912.58	39.6895	0.00	0.0000
CBOE EDGX OPTIONS EXCHANGE (EDGO)	0.0342	0.0000	0.0165	0.1882	0.0000	0.00	0.0000	22,801.37	31.7440	821.71	25.6464	0.00	0.0000
MIAX EMERALD, LLC (EMLD)	0.1563	0.0000	0.0894	0.7377	0.0000	0.00	0.0000	18,093.27	46.5685	5,266.39	36.6485	0.00	0.0000
ISE GEMINI EXCHANGE (GMNI)	0.0405	0.0000	0.0247	0.1783	0.0000	0.00	0.0000	9,892.08	50.3439	1,250.82	42.3289	0.00	0.0000
ISE MERCURY, LLC (MCRY)	0.0422	0.0000	0.0211	0.2257	0.0000	0.00	0.0000	24,908.01	19.7810	2,095.49	11.7500	0.00	0.0000
MIAX PEARL, LLC (MPRL)	0.0313	0.0000	0.0198	0.1306	0.0000	0.00	0.0000	36,477.17	52.1654	3,682.92	44.3725	0.00	0.0000
MEMX LLC OPTIONS (MXOP)	16.7493	0.0000	17.6795	8.9858	0.0000	0.00	0.0000	193,117.91	45.7674	170,881.57	47.5728	0.00	0.0000
MIAX SAPPHIRE, LLC (SPHR)	0.0100	0.0000	0.0100	0.0466	0.0000	0.00	0.0000	21,557.91	53.3414	1,502.14	39.8763	0.00	0.0000
BOX OPTIONS EXCHANGE (XBOX)	0.0338	0.0000	0.0181	0.1701	0.0000	0.00	0.0000	17,872.25	16.9222	1,054.15	38.9993	0.00	0.0000
NASDAQ OMX BX OPTIONS (XBXO)	0.3116	0.0000	0.3036	0.3859	0.0000	0.00	0.0000	27,711.98	53.4743	25,516.96	94.0232	0.00	0.0000
CBOE GLOBAL MARKETS INC. (XCBO)	0.2814	0.0100	0.0610	0.4823	0.0000	398.16	59.2500	66,664.02	21.5687	16,567.06	6.3798	0.00	0.0000
INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE)	0.0723	0.0000	0.0309	0.4321	0.0000	0.00	0.0000	31,668.05	36.1859	3,662.49	20.8108	0.00	0.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	0.0604	0.0000	0.0248	0.3694	0.0000	0.00	0.0000	18,290.60	37.4163	2,218.19	30.8596	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NASDAQ OPTIONS MARKET (XNDQ)	19.0944	0.0000	18.7836	22.1051	0.0000	0.00	0.0000	141,310.81	52.4280	58,111.74	58.4708	0.00	0.0000
NASDAQ OMX PHLX (XPHL)	0.0928	0.0000	0.0691	0.2992	0.0000	0.00	0.0000	34,670.19	41.8803	4,256.06	41.2969	0.00	0.0000

Material Aspects:

NYSE AMEX OPTIONS (AMXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NYSE ARCA OPTIONS (ARCO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE BZX OPTIONS EXCHANGE (BATO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE C2 OPTIONS EXCHANGE (C2OX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

CBOE EDGX OPTIONS EXCHANGE (EDGO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX EMERALD, LLC (EMLD):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE GEMINI EXCHANGE (GMNI):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE MERCURY, LLC (MCRY):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX PEARL, LLC (MPRL):

The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MEMX LLC OPTIONS (MXOP):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MIAX SAPPHIRE, LLC (SPHR):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

BOX OPTIONS EXCHANGE (XBOX):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX BX OPTIONS (XBXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE GLOBAL MARKETS INC. (XCBO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE):
XISE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OPTIONS MARKET (XNDQ):
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NASDAQ OMX PHLX (XPHL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.