



SUPPLEMENT OPTION EXCHANGE TIER REPORT 2024 Q1 - Q4

For all tier levels received see below. If no tier level received please see below exchange fee schedule links for base rates.

Product	Month	Exchange	Tier Name	SR Rate	Tier Level	Note
Option	2024-01	ARCA	Cust and Procust Add tier Penny	(0.4300)	Tier 2	
Option	2024-01	Nasdaq	Cust NonPenny Add tier	(0.9000)	Tier 3	
Option	2024-01	Nasdaq	Cust Penny Add tier	(0.3400)	Tier 6	
Option	2024-01	Nasdaq	Cust NonPenny Add tier	(0.8500)	Tier 3	
Option	2024-01	Nasdaq	Cust Penny Add tier	(0.3350)	Tier 6	
Option	2024-02	ARCA	Cust and Procust Add tier Penny	(0.4300)	Tier 2	
Option	2024-02	Nasdaq	Cust NonPenny Add tier	(0.9000)	Tier 3	
Option	2024-02	Nasdaq	Cust Penny Add tier	(0.3400)	Tier 6	
Option	2024-02	Nasdaq	Cust NonPenny Add tier	(0.8500)	Tier 3	
Option	2024-02	Nasdaq	Cust Penny Add tier	(0.3350)	Tier 6	
Option	2024-03	ARCA	Cust and Procust Add tier Penny	(0.4300)	Tier 2	
Option	2024-03	Nasdaq	Cust NonPenny Add tier	(0.9000)	Tier 3	
Option	2024-03	Nasdaq	Cust Penny Add tier	(0.3400)	Tier 6	
Option	2024-03	Nasdaq	Cust NonPenny Add tier	(0.8500)	Tier 3	
Option	2024-03	Nasdaq	Cust Penny Add tier	(0.3350)	Tier 6	
Option	2024-04	ARCA	Cust and Procust Add tier Penny	(0.4300)	Tier 2	
Option	2024-04	Nasdaq	Cust NonPenny Add tier	(0.9000)	Tier 3	
Option	2024-04	Nasdaq	Cust NonPenny Add tier	(0.8500)	Tier 3	
Option	2024-04	Nasdaq	Cust Penny Add tier	(0.3350)	Tier 6	
Option	2024-05	ARCA	Cust and Procust Add tier Penny	(0.4300)	Tier 2	
Option	2024-05	Nasdaq	Cust NonPenny Add tier	(0.9000)	Tier 3	
Option	2024-05	Nasdaq	Cust NonPenny Add tier	(0.8500)	Tier 3	
Option	2024-05	Nasdaq	Cust Penny Add tier	(0.3350)	Tier 6	
Option	2024-06	ARCA	Cust and Procust Add tier Penny	(0.4300)	Tier 2	

Option	2024-06	Nasdaq	Cust NonPenny Add tier	(0.9000) Tier 3
Option	2024-06	Nasdaq	Cust Penny Add tier	(0.3400) Tier 7
Option	2024-06	Nasdaq	Cust NonPenny Add tier	(0.8500) Tier 3
Option	2024-06	Nasdaq	Cust Penny Add tier	(0.9000) Tier 7
Option	2024-07	ARCA	Cust and Procust Add tier Penny	(0.4300) Tier 2
Option	2024-07	Nasdaq	Cust NonPenny Add tier	(0.8500) Tier 3
Option	2024-07	Nasdaq	Cust Penny Add tier	(0.3250) Tier 5
Option	2024-08	ARCA	Cust and Procust Add tier Penny	(0.4500) Tier 3
Option	2024-08	CBOE Edge	Complex Cust (Contra Non-Cust) Penny	(0.3950) Tier 1
Option	2024-08	Nasdaq	Cust NonPenny Add tier	(0.8500) Tier 3
Option	2024-08	Nasdaq	Cust Penny Add tier	(0.3350) Tier 6
Option	2024-09	ARCA	Cust and Procust Add tier Penny	(0.4300) Tier 2
Option	2024-09	CBOE Edge	Complex Cust (Contra Non-Cust) Penny	(0.3950) Tier 1
Option	2024-09	Nasdaq	Cust NonPenny Add tier	(0.8500) Tier 3
Option	2024-09	Nasdaq	Cust Penny Add tier	(0.3200) Tier 4
Option	2024-10	ARCA	Cust and Procust Add tier Penny	(0.4300) Tier 2
Option	2024-10	CBOE Edge	Complex Cust (Contra Non-Cust) Penny	(0.3950) Tier 1
Option	2024-10	Nasdaq	Cust NonPenny Add tier	(0.8500) Tier 3
Option	2024-10	Nasdaq	Cust Penny Add tier	(0.3350) Tier 6
Option	2024-11	ARCA	Cust and Procust Add tier Penny	(0.4300) Tier 2
Option	2024-11	CBOE Edge	Complex Cust (Contra Non-Cust) Penny	(0.3950) Tier 1
Option	2024-11	Nasdaq	Cust NonPenny Add tier	(0.8500) Tier 3
Option	2024-11	Nasdaq	Cust Penny Add tier	(0.3350) Tier 6
Option	2024-12	ARCA	Cust and Procust Add tier Penny	(0.4300) Tier 2
Option	2024-12	CBOE Edge	Complex Cust (Contra Non-Cust) Penny	(0.3950) Tier 1
Option	2024-12	Nasdaq	Cust NonPenny Add tier	(0.8500) Tier 3
Option	2024-12	Nasdaq	Cust Penny Add tier	(0.3350) Tier 6

Additional Option Exchange Fee Schedules

AMEX https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf

BATS https://certification.bats.com/us/options/membership/fee_schedule/bzx/

BOX <https://boxoptions.com/regulatory/fee-schedule/>

Additional Option Exchange Fee Schedules (Cont.)

C2	https://markets.cboe.com/us/options/membership/fee_schedule/ctwo/
CBOE	https://www.cboe.com/us/options/membership/fee_schedule/cboe/
CBOT	https://www.cmegroup.com/company/clearing-fees.html
CME	https://www.cmegroup.com/company/clearing-fees.html
COMEX	https://www.cmegroup.com/company/clearing-fees.html
EDGO	https://markets.cboe.com/us/options/membership/fee_schedule/edgx/
GMNI	https://listingcenter.nasdaq.com/rulebook/gemx/rules/GEMX%20Options%207
ISE	https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207
MCRY	https://listingcenter.nasdaq.com/rulebook/mrx/rules/MRX%20Options%207
MIAX	https://www.miaxoptions.com/fees
MPRL	https://www.miaxoptions.com/fees/pearl
NQBX	https://listingcenter.nasdaq.com/rulebook/bx/rules/BX%20Options%207
NSDQ	https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/Nasdaq%20Options%207
NYMEX	https://www.cmegroup.com/company/clearing-fees.html
NYSE	https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf
PHLX	https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207
EMLD	https://www.miaxoptions.com/sites/default/files/fee_schedule-files/MIAX_Emerald_Fee_Schedule_12202019.pdf
AMEX	https://www.nyse.com/publicdocs/nyse/markets/nyse-american/NYSE_America_Equities_Price_List.pdf
ARCA	https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf
BATS	https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/
BATSY	https://markets.cboe.com/us/equities/membership/fee_schedule/byx/
CHX	https://www.nyse.com/publicdocs/nyse/NYSE_Chicago_Fee_Schedule.pdf
EDGA	https://markets.cboe.com/us/equities/membership/fee_schedule/edga/
EDGX	https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/
IEX	https://iextrading.com/trading/fees/
NQBX	http://www.nasdaqtrader.com/trader.aspx?id=bx_pricing
NSX	https://www.nyse.com/publicdocs/nyse/regulation/nyse/NYSE_National_Schedule_of_Fees.pdf
NYSE	https://www.nyse.com/markets/nyse/trading-info/fees
NASDAQ	https://nasdaqtrader.com/Trader.aspx?id=PriceListTrading2
PSX	https://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing
MPRL	https://www.miaxoptions.com/sites/default/files/fee_schedule-files/MIAX_Pearl_Equities_Fee_Schedule_07122021.pdf